What's New at RPAG?







Luke Vandermillen Jr.

Sr. New Business Development Associate RPAG

CONFIDENTIAL

"There's a way to do it better – find it

Thomas Edison



629

Completed Enhancements to the RPAG Portal in 2022

2022 – Year in Review

More Tools in your Toolbox

Add Efficiency to Advisor Processes

Cutting-edge reporting and resources that help you fulfill your fiduciary responsibility and protect your clients Less time running reports = More time winning new business and improving client relationships



Cybersecurity Questionnaire - Provider

o you utilize the following technical security controls in the ardware, software, or firmware components of you information stems:	
Hardware, software and firmware models and versions are kept up to date	Yes
Vendor-supported firewalls, intrusion detection and prevention appliances/tools	Yes
Current and regularly updated antivirus software	Yes
Routine patch management	Yes
If yes, is this automated?	Yes
Network segregation	Yes
System hardening	Yes
Routine data backup	Yes
If yes, is this automated?	Yes
Describe the Security Validation process that is utilized for participants	We utilize processes, technologies, and protections to secure access to participants' accounts. We've implemented the following security features: • Behavioral Analytics: Our fraud detection package includes the use of behavior biometrics of users to understand navigation patterns, mouse usage, keystroke patterns, etc. which helps identify potential fraudulent users. • Phone Analysis & Authentication Technology. Looks at a robust set of phone signals, including phone line tonure, phone line change events like ports, disconnects, and number changes, and indicators of phone takeovers like SIM swaps, to analyze each call as it is received. • ACH Verification: We've implemented account verification practices to further increase our controls around disbursements for participant accounts. • Enhanced Authentication: We use device fingerprinting, multi-factor authentication (MFA), and geo controls to help protect our participants.

Cybersecurity Reporting



Choose Your Mapping Strategy

RPAG CIT Focus List (map all funds)

O RPAG CIT Focus List (map only funds up for elimination)

O My Fund Menu

Provider Product

Next

Mapping Strategy



Plan Highlights		
Sample Client - 401(k		
Type of Plan	401(k)	25
Eligibility		
Entry Dates	Deferral – Per Payroll	
Employee Contributions	1 - 0 % of pay Per Payroll up to the maximum of \$19,500 in 2020 May change as of each payroll period Employee catch-up contributions allowed for participants 50 or older (extra \$6,500 for a total contribution of \$26,000 in 2023)	
Employer Contributions	Discretionary Each Pay Period	
Vesting	Employer match and profit sharing as follows: Year 0 0%	
Automatic Features	Enrollment: Yes Escalation: Yes at percentage: 2%	
Investment Options	10 investment options and 11 targeted retirement funds	
Loans	No	
Hardships/In Service	Hardships - No	
Distributions	Following Retirement	
Normal Retirement Age	65 years old	
Account Access	www.accountaccess.com	
Account Statements	www.accountstatements.com	
Advisor	Advisor Support RPAG Advisor Training support@prag.com (949) 305-3659	
Sample Client / 401(k) / Sample Investmen	Nt Routine	

Plan Highlights Module



Menu							C Resource Cente	r 🏼 al Advisor iQ	Fund Look	up යි Client/Prospect ፍ) Ask RP/
Client Servicing											
	With Service Plans	Meetings Meetings Complete Quarter 27		0	Scheduled Meetings 0/5			Meeting Minutes ⑦ 0/0			
	Without Service Plans									Search Clients, Plan	s and Q
7/9	134	Client -	Plan	Lt Servi	e •	Frequency	Proposed	Scheduled Date 1	Depart	Meeting Minutes	
Client		Luke Sample Client	401(k)	11 Newslet	+	Monthly	Month 1	Mar 01, 2023	керог	Meeting Minutes	
Search Client				Memos							
	Service Plan	Hunter Sample Client	401(k) Plan Sample	Newslet Memos	ers and	Monthly	Mar 2023	Mar 01, 2023			
401(k)	No										
ABC Client	No	Carlos Sample Client	SAMPLE - 401(k)	Newslet	ers and	Monthly	Mar 2023	Mar 01, 2023			
ABC Company	No	Nik's Sample Client	Fall Semester Week 1	Newslet	are and	Monthly	Mar 2023	Mar 01, 2023			
ABC Company	No	NIKS Sample Client	Fall Semester Week 1	Memos	ers and	Monuniy	Mar 2023	Mar 01, 2023			
ABC Company	No										
ABC Company ABC Company	No	Carlos Sample Client	SAMPLE - 401(k)	Annual B Benchm		Annually	Feb 2023	Feb 15, 2023			
ABC Company	No	Carles Camela Client	SAMPLE - 401(k)	Investor	nt Review	Ourseterly	Feb 2023	Feb 15, 2023	Mad Charles	Not Observed	
ABC Company	No	Carlos Sample Client				Quarterly			Not Started	Not Started	
ABC Company	No	Carlos Sample Client	SAMPLE - 401(k)	Other Se	vice Meeting	Semi-Annually	Feb 2023	Feb 15, 2023			
ABC Company	No	Luke Sample Client	401(k)	Newslet	ars and	Monthly	Feb 2023	Feb 01, 2023			
ABC Company	No			Memos							
ABC Company	No	Hunter Sample Client	401(k) Plan Sample	Newslet	ars and	Monthly	Feb 2023	Feb 01, 2023			
ABC Company 2	No			Memos							
ABC Concrete. Inc	No	Carlos Sample Client	SAMPLE - 401(k)	Newslet	ers and	Monthly	Feb 2023	Feb 01, 2023			
ACG Models	No			Memos							
AK Test Alec Sample Client	NO	Nik's Sample Client	Fall Semester Week 1	Newslet	trs and	Monthly	Feb 2023	Feb 01, 2023			
Alex Sample Client	NO			Memos							
Allegheny Contract Flooring. Inc	No	Zions Bancorp	Zions Banc Sample Plan	Retirem	nt Program	Annually	Jan 2023	Jan 17, 2023			

Client Servicing Page



Rollover Analyzer

Client Information		Decision Factors	Importance	Weighting
Name	John Doe	All-in Fees and Expenses	High	20 %
Age	26	Available Investments	Medium	20 %
Current Account Balance	\$10,000.00	Tax Considerations	Low	20 %
Employment Status	Employed	RMD Considerations	Medium	20 %
Household Net Worth	\$200,000.00	Other Considerations	N/A	20 %
Objective				

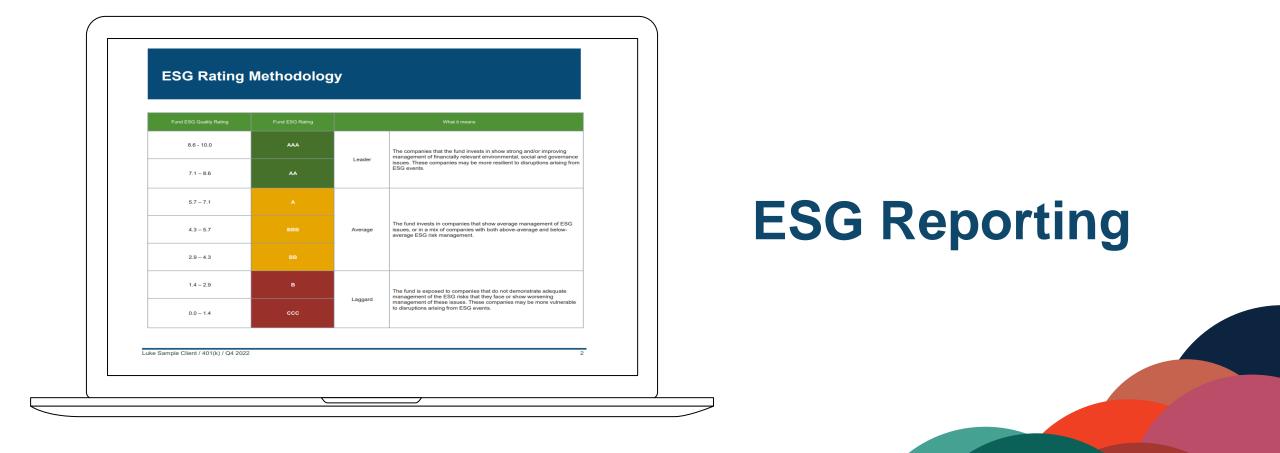
Rollover Analyzer

ABC Client / 401(k) / Luke Vandermillen 08-04-2022

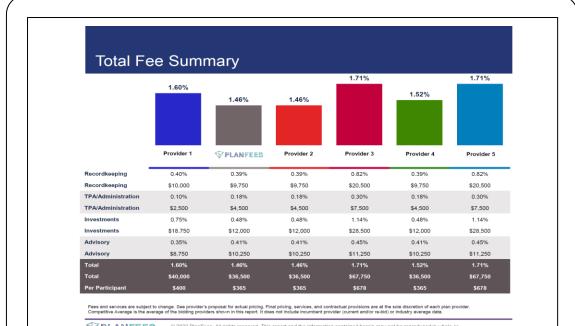
Rollover \$10,000.00 from employer sponsored

retirement Plan to an IRA









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RFP Express

What's Next?



Strategy Review

Automated Fund Commentary



Custom Scoring

RPAG reporting with your custom score



Venrollment

Exclusive discount for RPAG members!



Enhanced WellCents Reporting

More options, more data

WellCents Filter

Match the right advisor to the right employee

UI Facelift

Intuitive and sleek design

